TECH CENTER 1600/290

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Turner, Jr. et al.

Group Art Unit: 1647

Application No.:

09/771,961

Examiner: F. M. Hamud

Filed:

01/29/01

Title: Novel Human Membrane Proteins and

Polynucleotides Encoding the Same

Atty. Docket No. LEX-0121-USA

## **NOTICE OF APPEAL FROM THE PRIMARY EXAMINER** TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

**Box AF** 

Commissioner for Patents Arlington, VA 22202

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences from the decision of the Primary Examiner in the Final Office Action mailed on December 30, 2002 (Paper No. 10) finally rejecting claims 1 through 8.

The fee as required under 37 C.F.R. § 1.17(b) for filing this Notice of Appeal is \$160.00, and the Commissioner is hereby authorized to deduct this fee from Applicants' Representatives' Deposit Account No. 50-0892. Applicants believe no fees in addition to the fees for filing the Notice of Appeal are due in connection with this communication. However, should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason related to this communication, the Commissioner is authorized to charge any underpayment or credit any overpayment to Lexicon Genetics Incorporated Deposit Account No. 50-0892.

Respectfully submitted,

March 28, 2003

Date

Lance K. Islumite by David W. John Pet. No. 41,071

Reg. No. 41,866

Lance K. Ishimoto Agent For Applicants

09771961

LEXICON GENETICS INCORPORATED

(281) 863-3333

04/02/2003 CNGUYEN 00000080 500892 01 FC:2401

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Signature

Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/771,961
Filing Date	01/29/01
First Named Inventor	Turner, Jr.
Group Art Unit	1647
Examiner Name	F. M. Hamud
Attorney Docket Number	LEX-0121-USA

After Allowance Communication

March 28, 2003

Date

Fee Transmittal Form		Ш	(for an Application)		to Group				
	Fee Attached			Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
	Amendment / Reply			Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
	After Final			Petition		Proprietary Information			
	Affidavits/declaration(s)			Petition to Convert to a Provisional Application		Status Letter			
	Extension of Time Request			Change of Correspondence Address identify below):		Other Enclosure(s) (please identify below):			
Express Abandonment Request				Terminal Disclaimer return postcard					
Information Disclosure Statement				Request for Refund					
Certified Copy of Priority Document(s)				CD, Number of CD(s)					
	Response to Incomplete A	Missing Parts/ oplication	Remarks						
		onse to Missing under 37 CFR 1.52 3	24231 PATENT TRADEMARK OFFICE						
<b>-</b>	····	SIC	NATL	IRE OF APPLICANT, ATTORNEY,	OR AG	ENT			
<i>or</i> Indi	Firm or Lance K. Ishimoto Reg. No. 41,866 Individual name Lexicon Genetics Incorporated								
Sigi	Signature Lance K. Schemots by David W. Woln PEG. NO. 41,071								
Date	Date March 28, 2003								
CERTIFICATE OF MAILING									
mail	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box AF, P.O. Box 2327, Arlington, VA  March 28, 2003  22202 on this date:								
Туре	ed or printed na	me Nancy Stacey	/						

(check all that apply)

**ENCLOSURES** 

**Assignment Papers** 

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Washington, DC 20231. PTO/SB/17 (01-03)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark office: U.S. DEPARTMENT OF COMMERCE
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Filing Date

Application Number

First Named Inventor

**Examiner Name** 

Group Art Unit

F	EE	ETR	AN	SMI	TT	AL
		for	FY	200	3	

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 160.00 Attorney Docket No. LEX-0121-USA

Complete if Known

09/771,961 01/29/01

F. M. Hammud

Turner, Jr.

1647

METHOD OF	PAYMEN	IT (check all that app	oly)	FEE CALCULATION (continued)						
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Deposit Account Name	n Genetics	Incorporated		1053	130	1053	130	Non-English specification	4 2	긥
The Commissioner is	authorized	to: (check all that apply)		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	200	$\neg$ d
X Charge fee(s)	indicated be	elow X Credit any over	erpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Example	_ <del></del>	⊣તુ
X Charge any a	ditional fee	(s) during the pendency of	this application	1805	1,840*	1805	1,840**	action Requesting publication of SIR after Examiner action		∄]
		elow, except for the filing	fee	1251	110	2251	55	Extension for reply within first month	ľ	
to the above-identified	deposit acc	count.		1252	410	2252	205	Extension for reply within second month		$\Box$ $\Box$
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1. BASIC FILIN	G FEE			1254	1,450	2254	725	Extension for reply within fourth month		71
Large Entity Sn	all Entity	_	[	1255	1,970	2255	985	Extension for reply within fifth month		71
Fee Fee Fe Code (\$) Co		Fee Description	Fee Paid	1401	320	2401	160	Notice of Appeal	160.	.00
1001 750 20	01 375	Utility filing fee		1402	320	2402	160	Filing a brief in support of an appeal		$\dashv$ ]
1002 330 20	02 165	Design filing fee		1403	280	2403	140	Request for oral hearing		<b>-1</b>
1003 520 20	3 260	Plant filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		71
1004 750 20	04 375	Reissue filing fee		1452	110	2452	55	Petition to revive - unavoidable		<b>-1</b>
1005 160 20	5 80	Provisional filing fee		1453	1,280	2453	640	Petition to revive - unintentional		╛╽
		SUBTOTAL (1) (\$)		1501	1,280	2501	640	Utility issue fee (or reissue)		$\exists 1$
2. EXTRA CLAII	VI FEES I	FOR UTILITY AND	REISSUE	1502	460	2502	230	Design issue fee		71
	Extra	Fee from Claims below	Fee Paid	1503	620	2503	310	Plant issue fee		7 I
Total Claims	-20**=	x =		1460	130	1460	130	Petitions to the Commissioner		┪╏
Independent Claims	-3**=	x=		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		71
Multiple Dependent		=		1806	180	1806	180	Submission of Information Disclosure Stmt		7 I
	all Entity	<del>-</del>		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		11
Fee Fee Fe Code (\$) Co		Fee Descript	<u>ion</u>	1809	740	2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))		71
1202 18 22 1201 84 22 1203 280 22	01 42	Claims in excess of 20 Independent claims in ex		1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))		7
1204 84 22		Multiple dependent claim **Reissue independent cl over original patent		1801	740	2801	370	Request for Continued Examination (RCE)		<b>  </b>
1205 18 226		** Reissue claims in exce and over original pater		1802	900	1802		Request for expedited examination of a design application		$\exists 1$
	SU	BTOTAL (2) (\$)		Other fe	e (specify	/)				
**or number previously paid if greater. For Baissups, see above			*Boduo	od by Bac	ia Filiaa	F D-:-	SUBTOTAL (2) (\$) 16	0.00	7 I	

SUBMITTED BY	UBMITTED BY Complete (if applicable)									
Name (Print/Type)	Lance K. Ishimoto	Registration No. (Attorney/Agent)	41,866	Telephone	(281) 863-3333					
Signature	Lance K. Alumor by O.	and W. alter DAV	10 W. HIBLE		March 28, 2003					

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

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